

# Accessing gluon Sivers at EIC

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# Nucleon Landscape

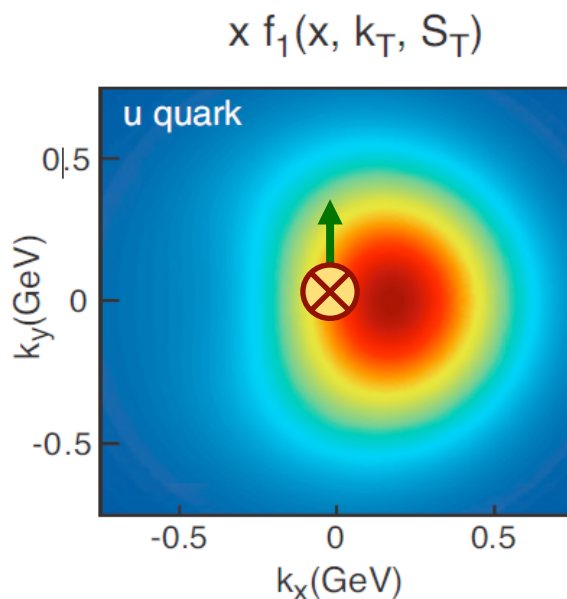
- Nucleon is a dynamical system of quarks and gluons
  - How **partons** are **distributed in space and momentum** inside the nucleon?
  - How are these **quark and gluon distributions correlated** with the over all nucleon properties, such as **spin** direction?
  - Spin as fundamental intrinsic property and also as a mechanism to do tomography of many body system of quarks and gluons
- **EIC**: polarized collider to have full access to the nucleon dynamics

# 3D imaging of partonic structure of nucleon

## Transverse Momentum Dependent parton distributions (TMDs)

confined motion in a nucleon  
(semi-inclusive DIS)

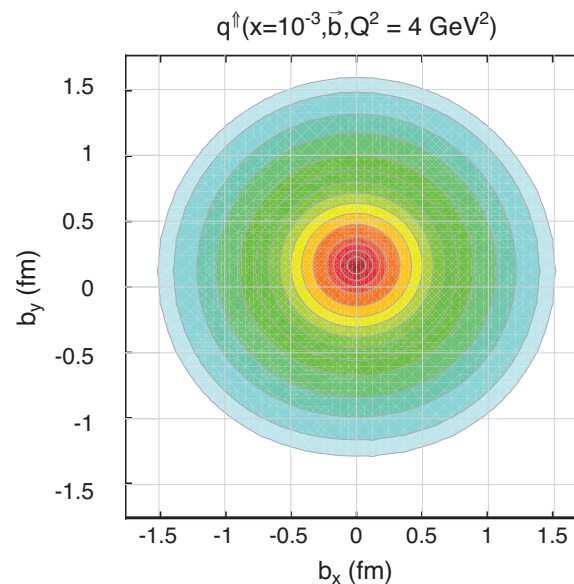
2+1D picture in momentum  
space ( $k_T$ )



## Generalized Parton Distributions (GPDs)

Spatial imaging of quarks and  
gluons (exclusive DIS)

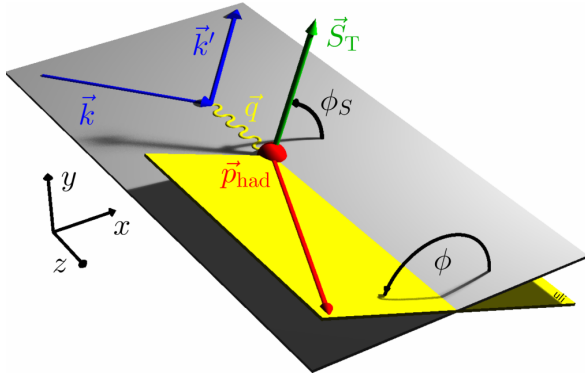
2+1D picture in impact  
parameter space ( $b$ )



# Transverse Momentum Dependent PDFs

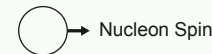
**observable:** azimuthal modulations  
of 6-fold differential SIDIS cross section

$$\frac{d\sigma}{dx dQ^2 dz d\phi_s d\phi_h dp_T^h}$$



- multi-scale process:  $Q^2, p_T$
- TMD framework/factorization applicable for  $Q^2 \gg p_T$
- so far only valence quark TMDs extracted from fixed target data
- very different evolution then collinear PDFs: perturbative & non-perturbative contributions
- different TMDs can be defined and probed in SIDIS

## Leading Twist TMDs



Similar for gluons

		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1 = \text{circle with red dot}$		$h_1^\perp = \text{Boer-Mulders}$
	L		$g_{1L} = \text{Helicity}$	$h_{1L}^\perp = \text{Helicity}$
	T	$f_{1T}^\perp = \text{Sivers}$	$g_{1T}^\perp = \text{Helicity}$	$h_1 = \text{Transversity}$

$$\frac{d\sigma}{dx dy d\phi_s dz d\phi_h dp_T^h} = \frac{\alpha^2}{xy Q^2} \frac{y^2}{2(1-\epsilon)} \cdot$$

**Unpolarized**

Boer-Mulders  $\lambda_1^\perp = \dots$

Transversity  $\lambda_2^\perp = \dots$

Sivers  $\lambda_3^\perp = \dots$

Pretzelosity  $\lambda_4^\perp = \dots$

$\dots$

**Polarized Target**

$\dots$

**Polarized Beam and Target**

$\dots$



# Sivers Function

Correlation of nucleon's transverse spin with  $k_T$  of an unpolarized quark/gluon: Can be viewed as **partonic motion inside nucleon**

$$f_{q/P^\uparrow}(x, \mathbf{k}_\perp, S) = f_1(x, \mathbf{k}_\perp^2) - \frac{\mathbf{S} \cdot (\hat{\mathbf{P}} \times \mathbf{k}_\perp)}{M} f_{1T}^\perp(x, \mathbf{k}_\perp^2) : \sin(\Phi_h - \Phi_S) \text{ modulation}$$

unpolarized TMD



important link to physics of gluon saturation at small x



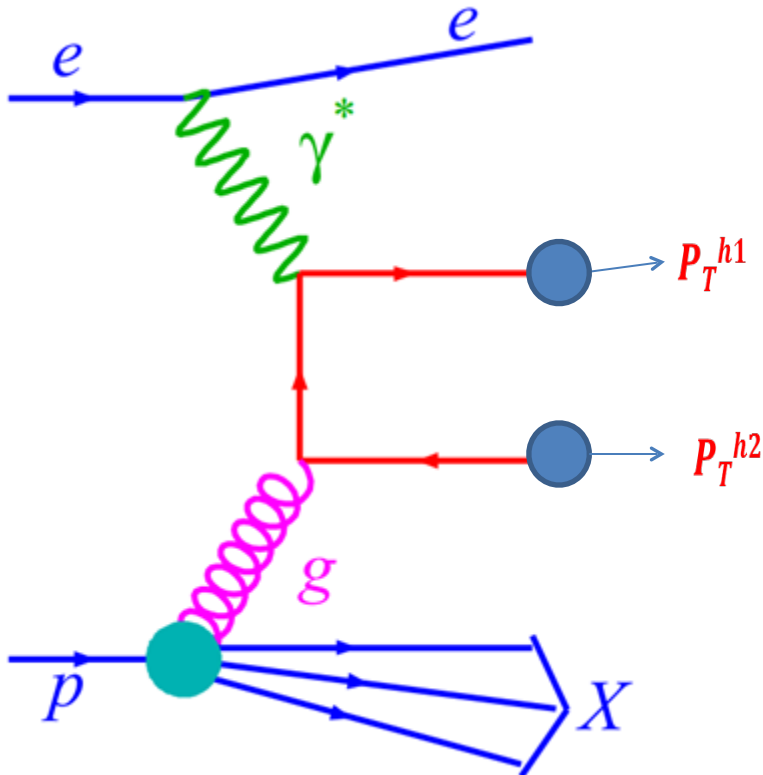
Sivers function

- “dipole” deformation
- measures spin-orbit correlations
- link to parton orbital motion (through models)
- reveals non-trivial aspects of QCD color gauge invariance

Due to the different gauge links involved in the processes, the gluon Sivers effect extracted from EIC would be an independent gluon Sivers function distinct from that obtained in pp collisions and can hardly be accessed in other collisions. Gluon Sivers studies at an EIC will provide a unique test of the fundamental non-perturbative QCD effects and provide complementary information.

# Accessing gluon dynamics in DIS

## Photon-Gluon Fusion (PGF)



1. Tag photon-gluon fusion events
2. Find back-to-back hadron pairs from the quark-antiquark jet
3. Reconstruct the gluon dynamics with the hadron/jet pair information

Gluon information can be extracted with the hadron/jet pairs from the quark-antiquark jet.

Back-to-back limit:

$$P_T' = |\mathbf{P}_T^{h1} - \mathbf{P}_T^{h2}|/2$$

$$k_T' = |\mathbf{P}_T^{h1} + \mathbf{P}_T^{h2}|$$

$$k_T' \ll P_T'$$

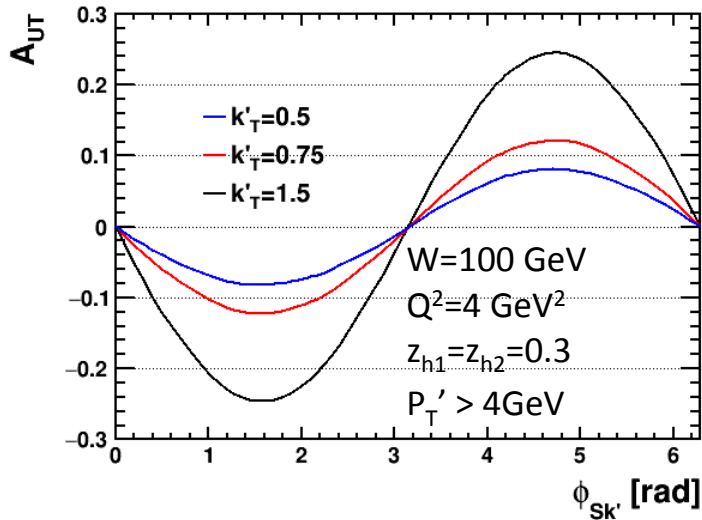
# Theoretical framework for the model calculation for Sivers function

$$\frac{d\sigma_{\text{tot}}^{\gamma^*+p^\uparrow \rightarrow h_1+h_2+X}}{dz_{h1}dz_{h2}d^2p_{h1\perp}d^2p_{h2\perp}} = C \int_{z_{h1}}^{1-z_{h2}} \sum_q dz_q \frac{z_q(1-z_q)}{z_{h2}^2 z_{h1}^2} d^2p_{1\perp} d^2p_{2\perp} \hat{f}_{g/p^\uparrow}(x_g, k_\perp) \\ \times \mathcal{H}_{\text{tot}}^{\gamma^*g \rightarrow q\bar{q}}(z_q, k_{1\perp}, k_{2\perp}) e_q^2 D_{h1/q}(\frac{z_{h1}}{z_q}, p_{1\perp}) D_{h2/\bar{q}}(\frac{z_{h2}}{1-z_q}, p_{2\perp})$$

$$A_{UT} = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow} \propto \frac{\Delta^N f_{g/p^\uparrow}(x, k_\perp)}{2f_{g/p}(x, k_\perp)}$$

$$\hat{f}_{a/p^\uparrow}(x, k_\perp) = f_{a/p}(x, k_\perp) - f_{1T}^{\perp a}(x, k_\perp) \frac{\vec{S} \cdot (\hat{\vec{P}} \times \vec{k}_\perp)}{M_p}$$

$$\Delta^N f_{a/p^\uparrow}(x, k_\perp) = -\frac{2k_\perp}{M_p} f_{1T}^{\perp a}(x, k_\perp)$$



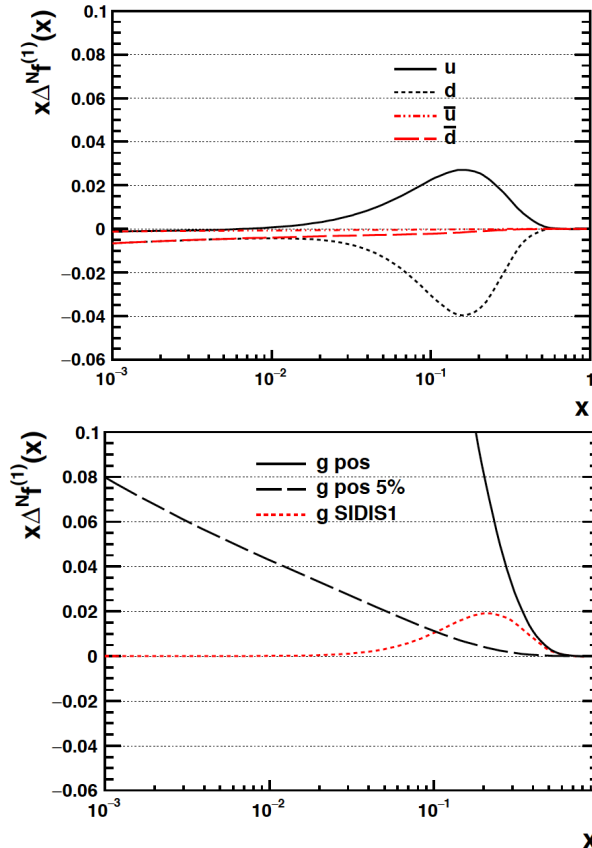
- Negative gluon Sivers saturating the positivity bound is assumed.
- Stronger asymmetry size observed for larger  $k'_T$ .

$$\phi_{Sk'} = \phi_S - \phi_{k'_T}$$

# Inputs to the model calculation

Quark Sivers: JHEP 04 (2017) 046 Anselmino et al.

Gluon Sivers: JHEP 09 (2015) 119 D'Alesio et al.  
u,d + Kretzer FF (SIDIS)



Positivity bound ansatz:

$$f_{1T}^{\perp g} = -\frac{2\sigma M_p}{k_{\perp}^2 + \sigma^2} f_g(x, k_{\perp}), \quad \sigma = 0.8$$

- Quark Sivers with only u and d contribution extracted from HERMES and COMPASS data.
- Gluon Sivers from a fit to RHIC  $\pi^0 A_N$  data.
- Fitted gluon Sivers is much smaller than quark Sivers in a wide range of  $x$ .

# EIC setup for gluon SSA study

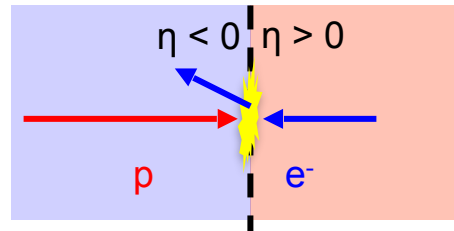
Kinematics:

$ep^\uparrow$  20x250 GeV

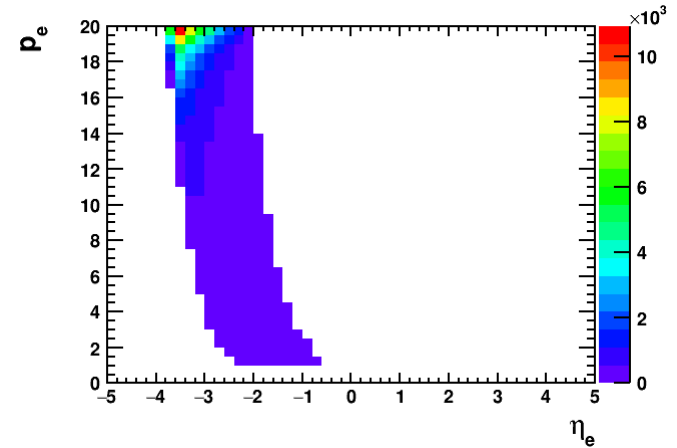
$\sqrt{s}=141$  GeV

$0.01 < y < 0.95$

$1 < Q^2 < 20$  GeV<sup>2</sup>

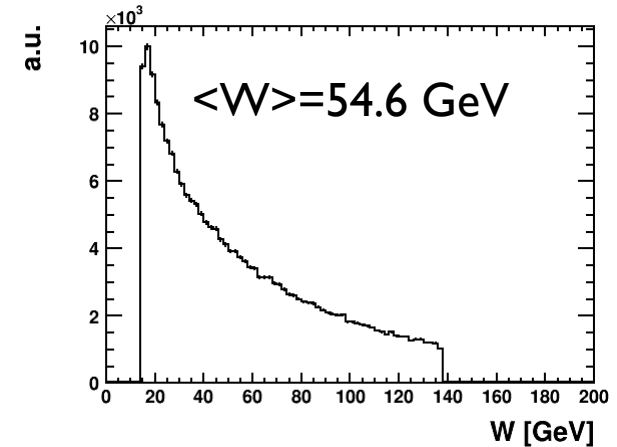
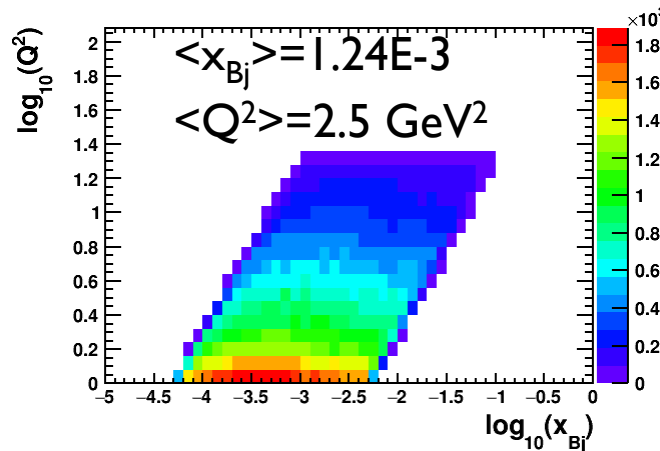


Scattered electron



Final state observables

1.  $D^0$  pair (+single  $D^0$ )
2. Dihadron
3. Dijet
4.  $K^+K^-$  pair



# D<sup>0</sup> selection

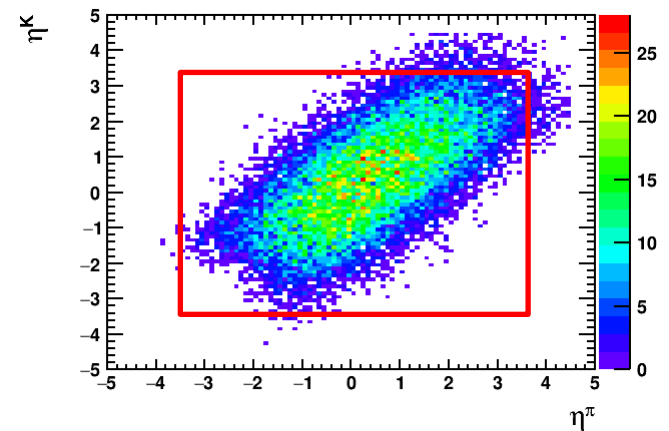
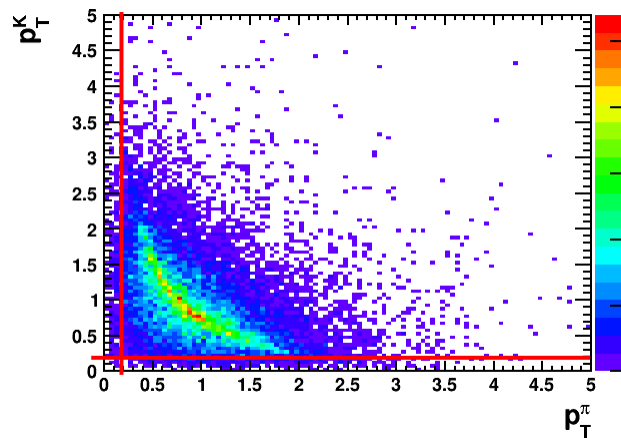
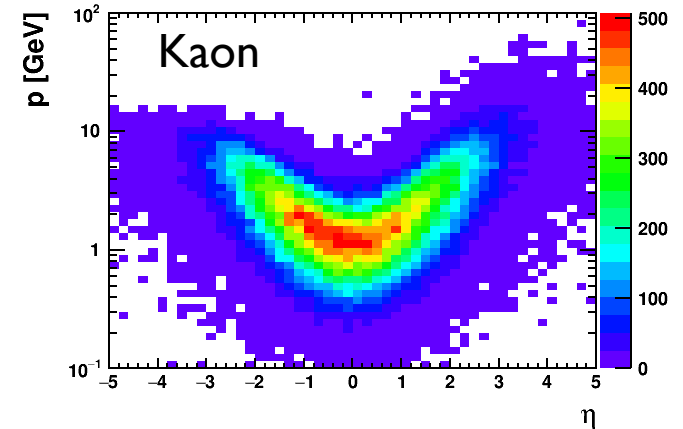
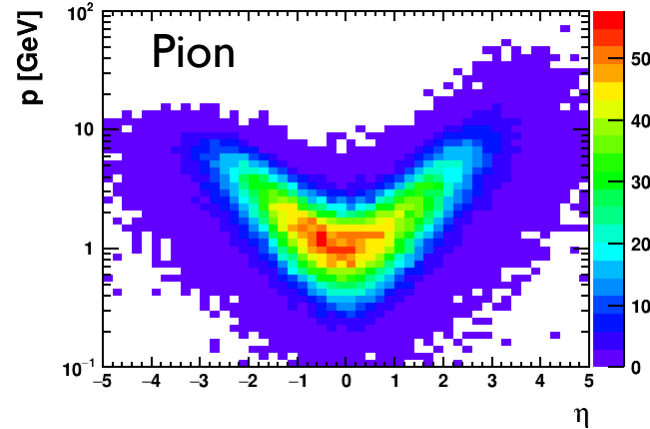
$$ep^{\uparrow} \rightarrow e' c \bar{c} X$$

$$D^0(c\bar{u}) \rightarrow \pi^+(u\bar{d})K^-(s\bar{u})$$

$$\bar{D}^0(\bar{c}u) \rightarrow \pi^-(\bar{u}d)K^+(u\bar{s})$$

Branching ratio: 3.9%

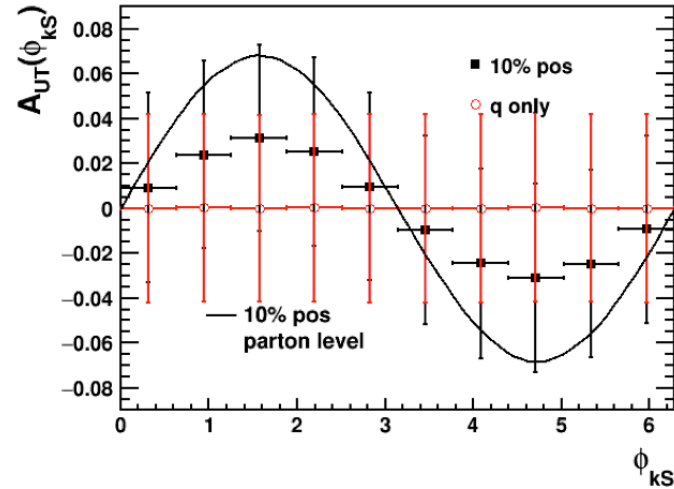
- Acceptance for PID is assumed to be  $|\eta| < 3.5$
- Decay products from D<sup>0</sup> mesons are mostly less than 10 GeV within  $|\eta| < 1$
- Decay products  $p_T > 0.2$  GeV (94% survival)



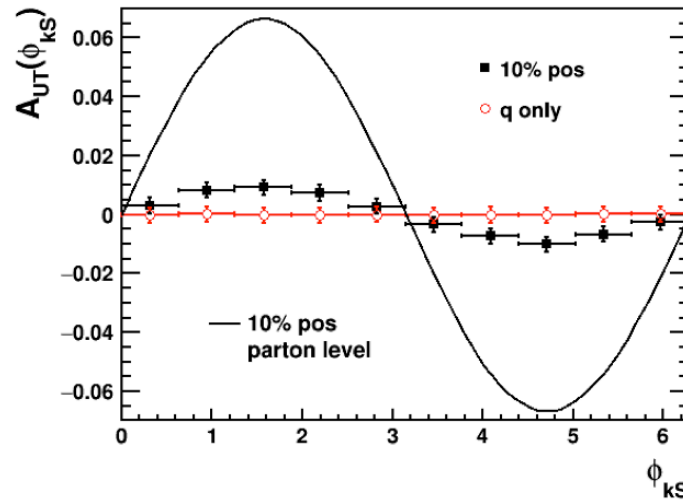
# Projections for the SSA with $D^0$

$$ep^\uparrow \rightarrow e' c\bar{c}X$$

- ep 20x250 GeV
- $D^0 \rightarrow K\pi$  in acceptance
- $p_T > 0.2$  GeV,  $z > 0.1$
- correlation limit:  $k_T < 0.5 \cdot P_T$
- $\langle x_g \rangle \sim 0.03$
- $\sigma_{D\bar{D}} = 2.7 \times 10^{-3}$  nb
- Integrated luminosity  $10 \text{ fb}^{-1}$ :  
 $\delta A_{UT} \sim 2 \times 10^{-3}$ , sensitive to a few  
 % of positivity limit



$D^0 D^0\text{bar} + X$

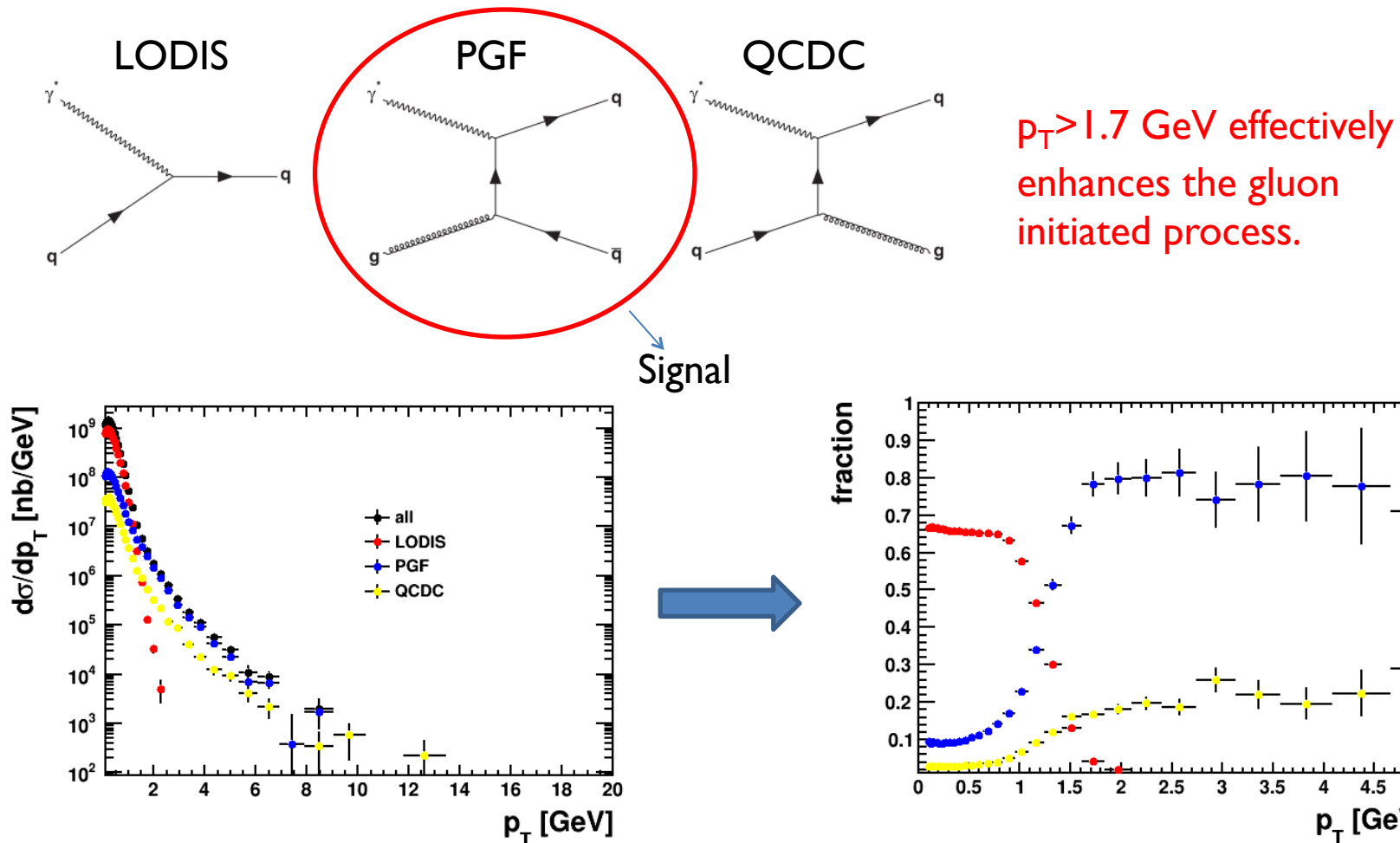


$D^0 + X$

The statistical uncertainty obtained with  $P=70\%$  polarization  $(\delta A_{UT})^2 = \frac{1}{P^2 \sigma L}$



# Dihadron in PGF



# Dihadron pair selection

## Kinematic cuts:

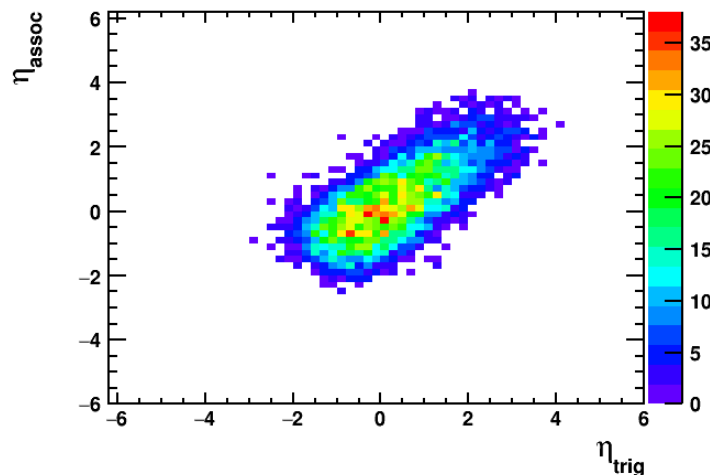
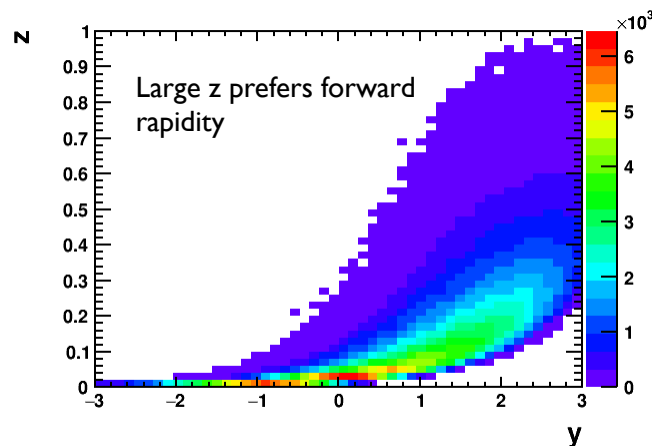
ep 20x250 GeV

$0.01 < y < 0.95$

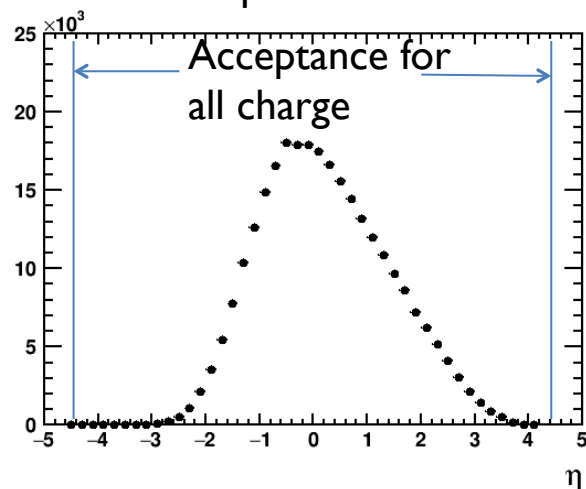
$1 < Q^2 < 20 \text{ GeV}^2$

$p_T > 1.7 \text{ GeV}, z_h > 0.1, |\eta| < 4.5$

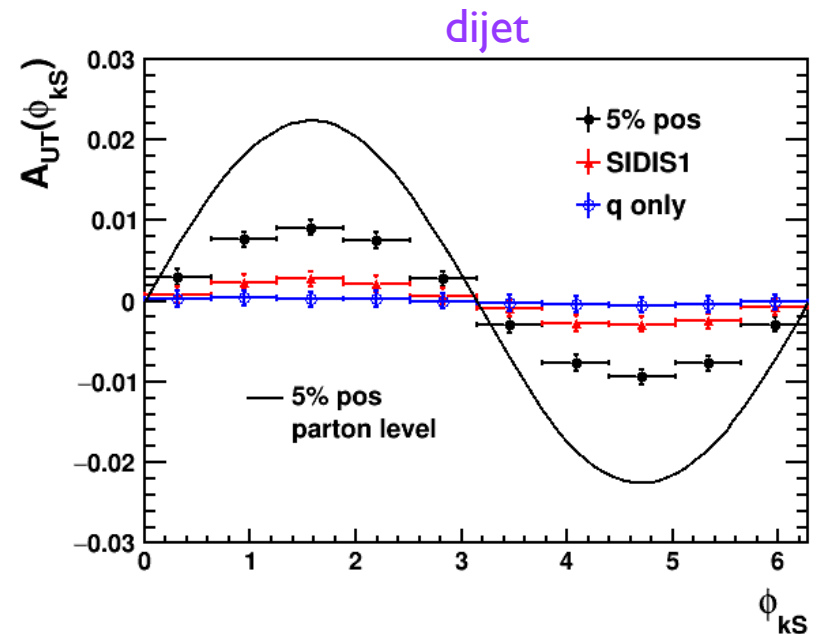
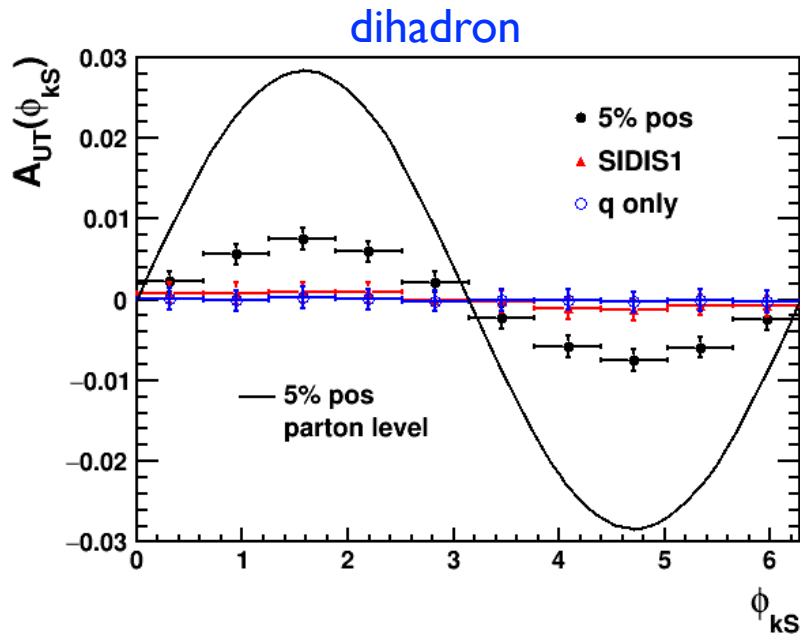
Back-to-back limit:  $k_T' < 0.7P_T'$



## Hadron pair distribution



# Projections on the SSA with Dihadron and Dijet



## Kinematic cuts:

ep 20x250 GeV

$0.01 < y < 0.95$

$1 < Q^2 < 20 \text{ GeV}^2$

$|\eta| < 4.5$

Dihadron:  $p_T > 1.7 \text{ GeV}$ ,  $z_h > 0.1$

Dijet:  $p_{Tjet1} > 4.5 \text{ GeV}$ ,  $p_{Tjet2} > 4 \text{ GeV}$

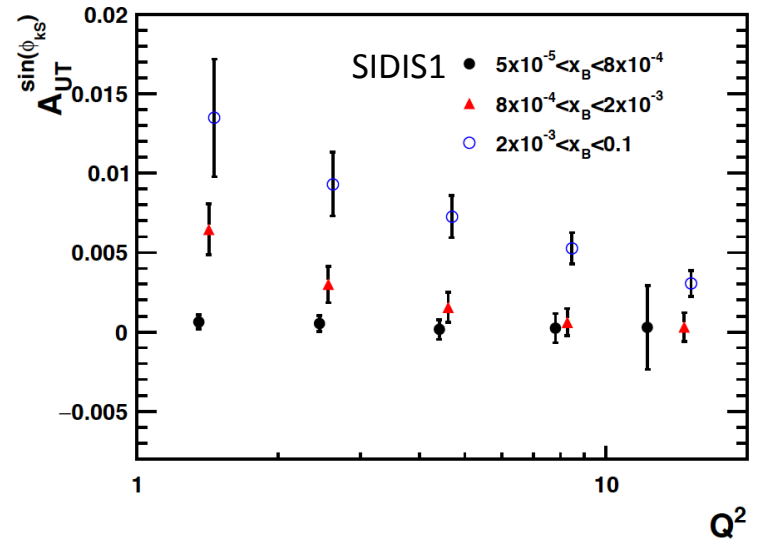
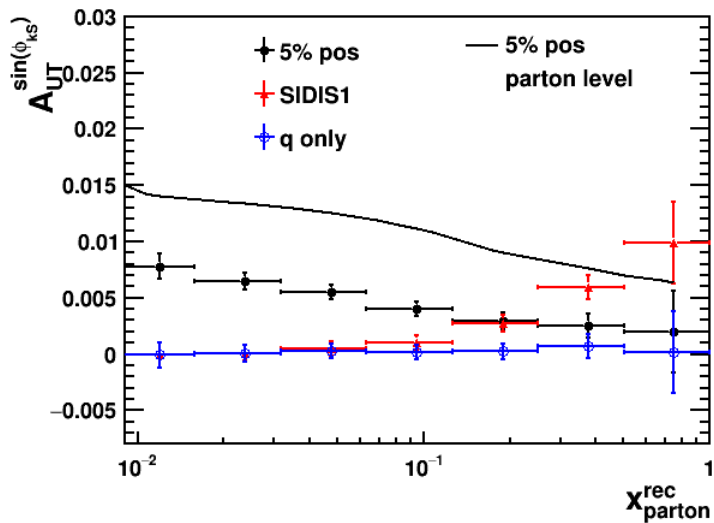
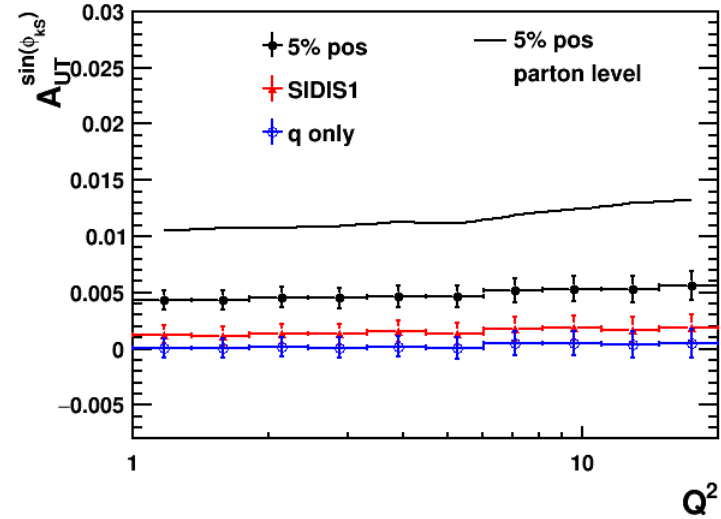
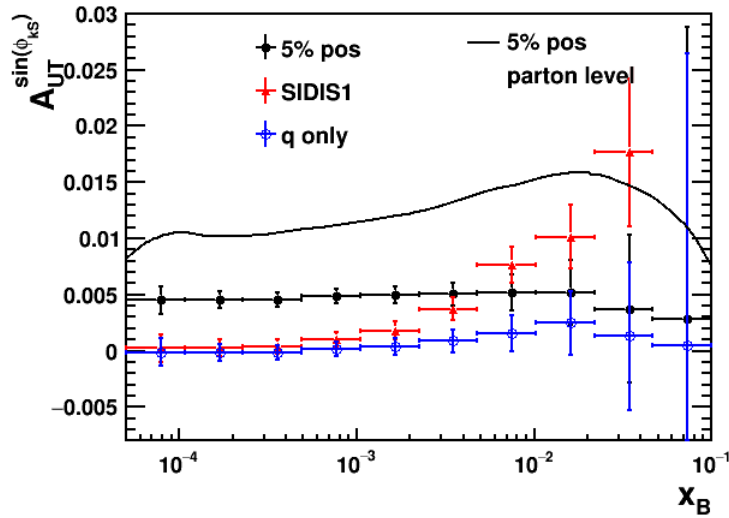
Back-to-back limit:  $k_T' < 0.7P_T'$

$\int L = 10 \text{ fb}^{-1}$

proton polarization=70%

Jet @ EIC: B. Page's Talk

# Gluon SSA with Dijet

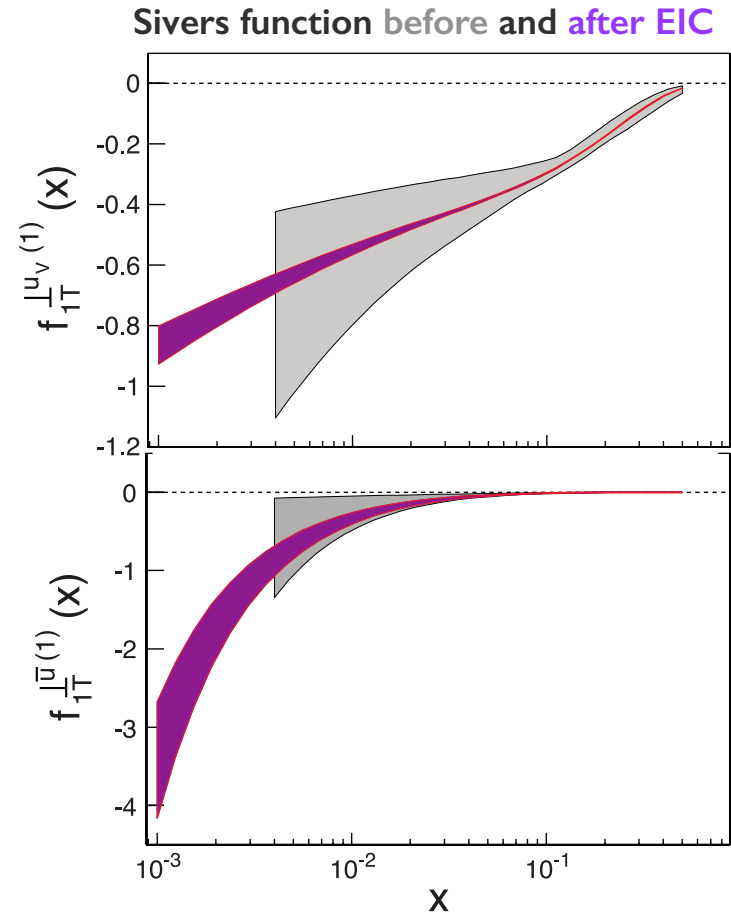
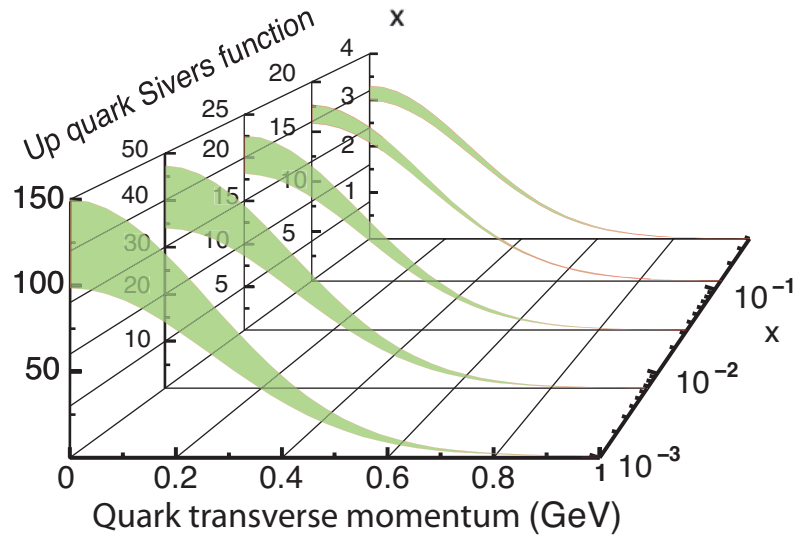
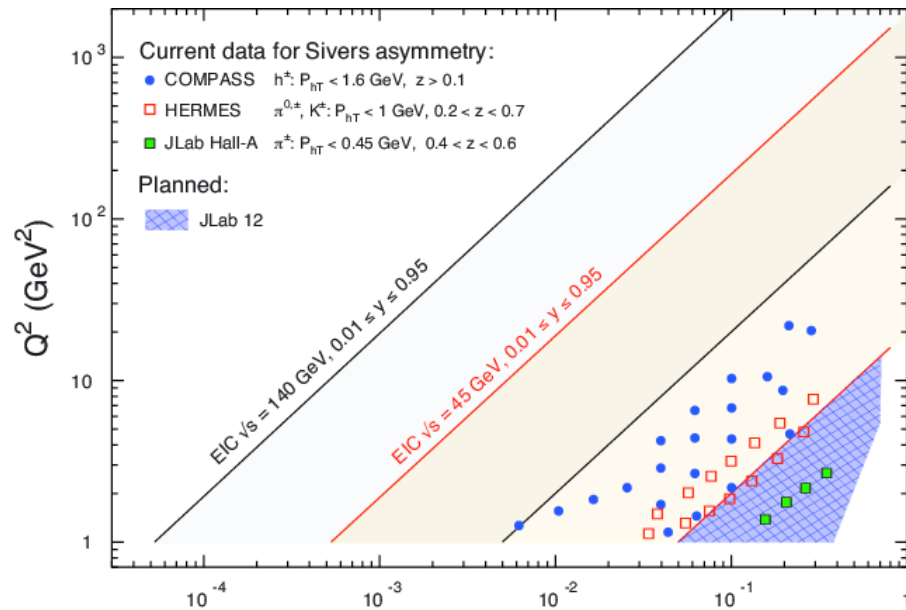


# Summary

- Gluon TMDs is ingredient of complete **3D imaging of nucleon**, and can be uniquely measured at EIC by measuring gluon Sivers function
- **Gluon Sivers** can be accessible and constrained in a wide kinematic range via photon-gluon coupling -  $D^0$ , dihadron, dijet within **EIC**'s machine and detector reach



# quark Sivers at EIC





# PYTHIA confronted with HERA data

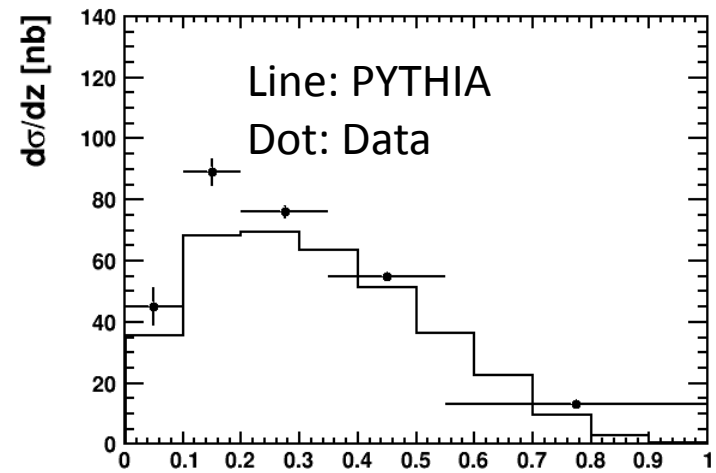
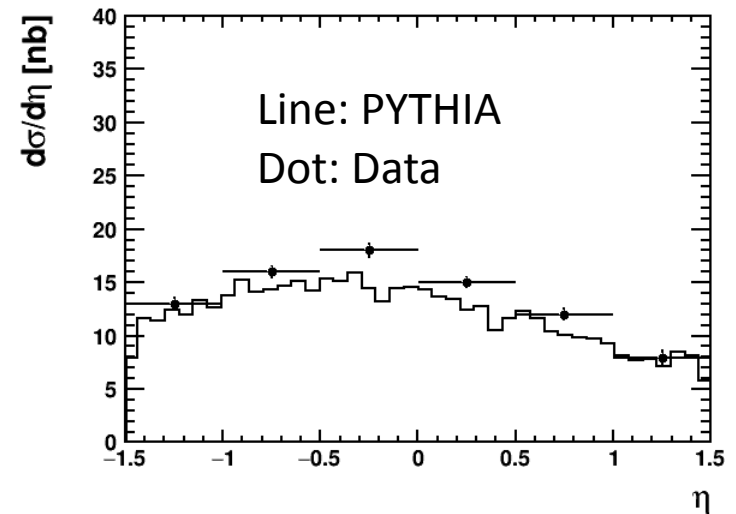
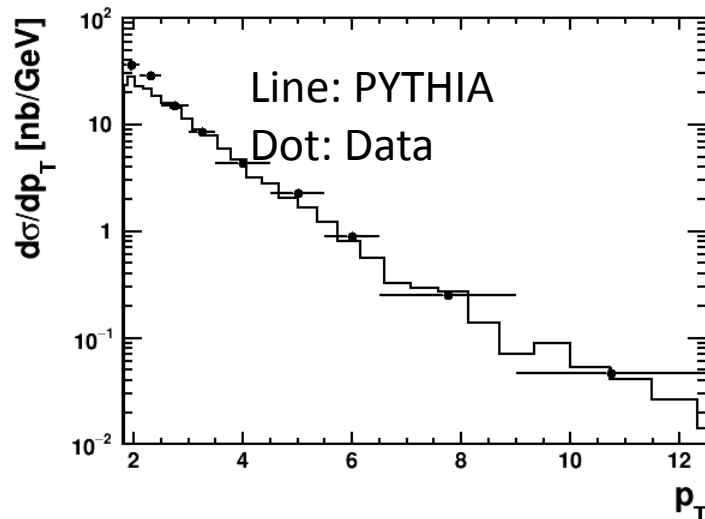
Data taken from: EPJC 72, 1995 (2012)

Comparing with  $D^*$  measurements from HERA

ep 27.6 GeV x 920 GeV

$Q^2 < 2 \text{ GeV}^2$ ,  $100 < W < 285 \text{ GeV}$ ,  $|\eta| < 1.5$

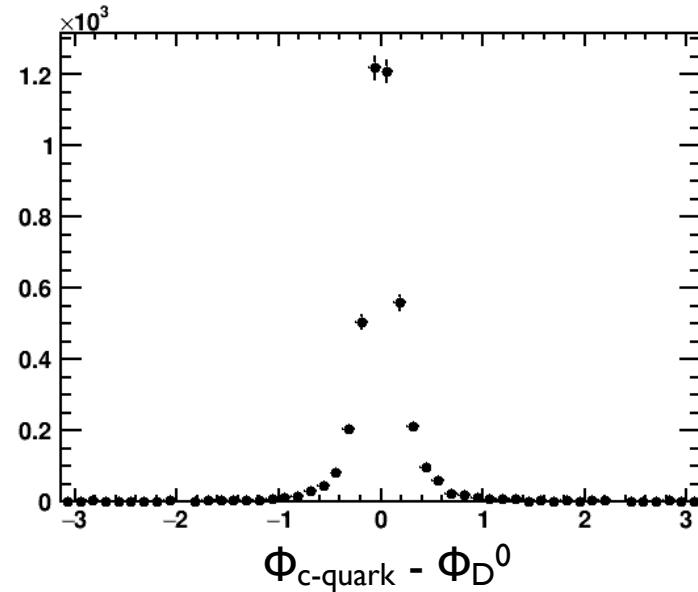
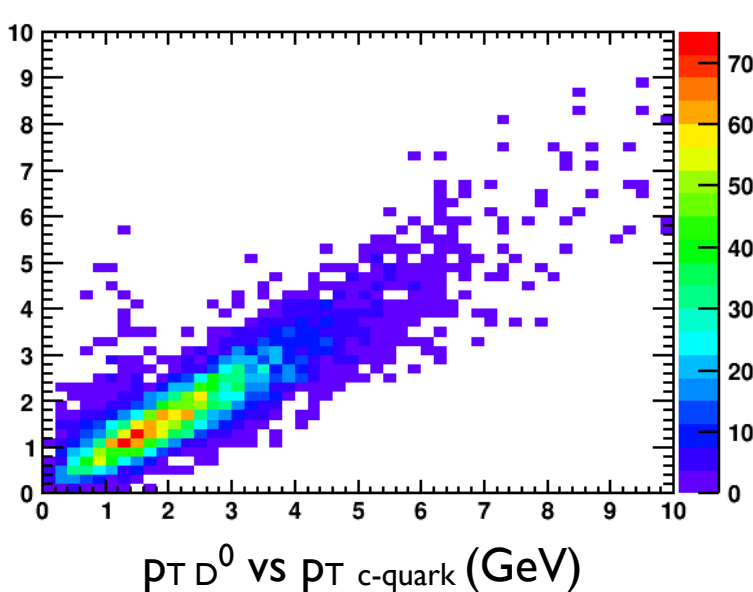
$p_T$ ,  $\eta$  defined in gamma-hadron center of mass frame



Simulation describes the data trend.

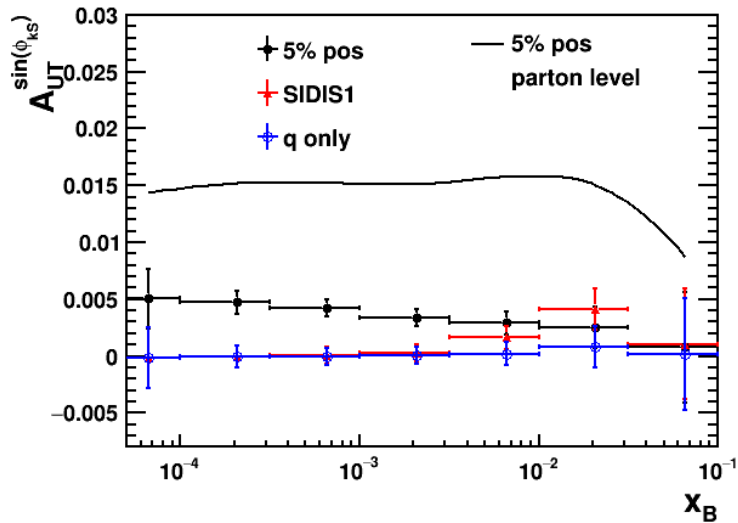
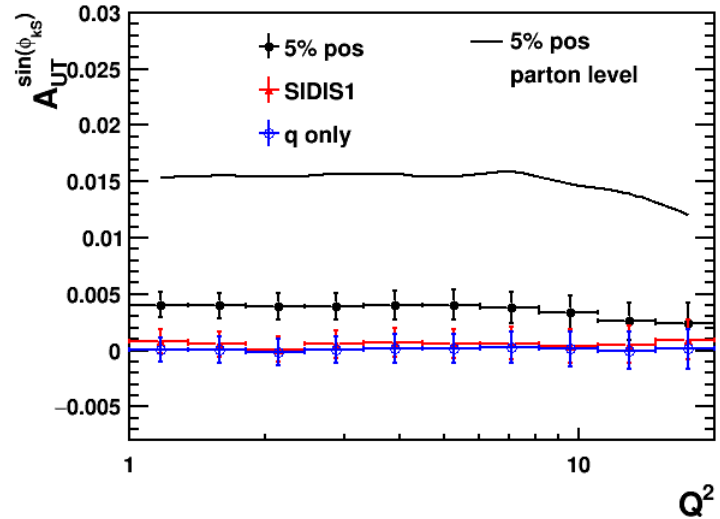
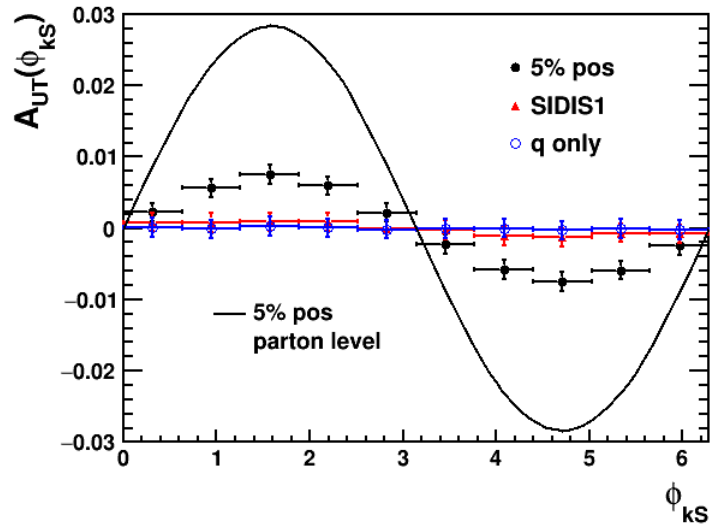
# $D^0$ as c-quark proxy

$$ep^\uparrow \rightarrow e' c \bar{c} X$$



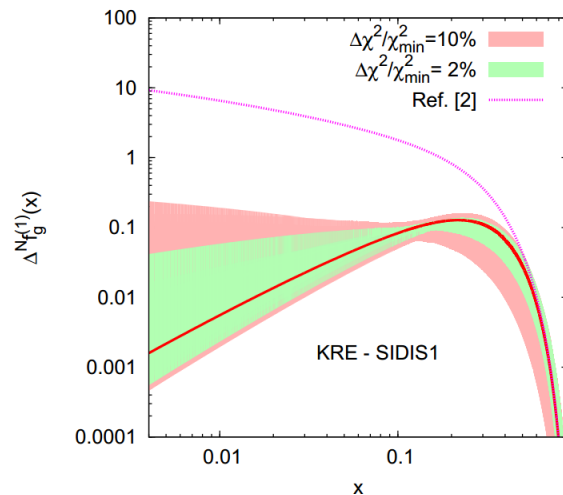
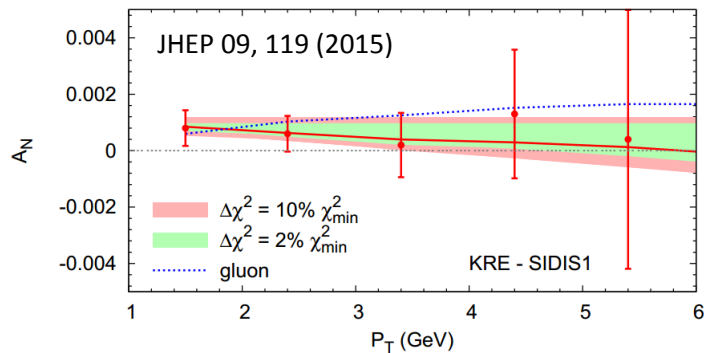
$D^0$  meson takes a large fraction of the charm quark energy, serves as a proxy to the c-quark information.

# Gluon SSA with dihadron

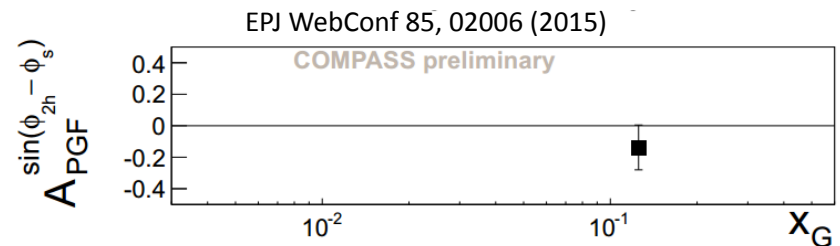


# Current constraints on gluon Sivers

Extraction based on  $A_N$  data at RHIC ( $\pi^0$ )



Extraction on COMPASS data



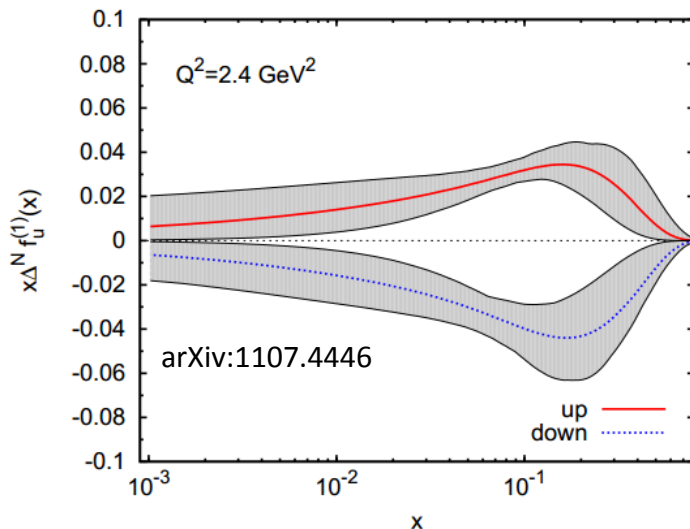
$$A_{PG}^{\sin(\phi_{2h}-\phi_s)} = -0.14 \pm 0.15(\text{stat.})$$

$$\langle x_G \rangle = 0.126$$

- Effective gluon Sivers from  $A_N$  may differ from the actual gluon Sivers in TMD.
- Limited  $x$  and  $Q^2$  range explored in SIDIS. Still allow for gluon Sivers contributions of  $1/N_c$ .
- No hard constraints at this moment.

# Extraction of quark Sivers

- Ansatz for transverse momentum and x dependence
- Sizable Sivers effect
- u, d quark Sivers with opposite sign



$$\hat{f}_{a/p^\uparrow}(x, k_\perp) = f_{a/p}(x, k_\perp) - f_{1T}^{\perp a}(x, k_\perp) \frac{\vec{S} \cdot (\hat{\vec{P}} \times \vec{k}_\perp)}{M_p}$$

$$\bar{\Delta}^N f_{a/p^\uparrow}(x, k_\perp) = -\frac{2k_\perp}{M_p} f_{1T}^{\perp a}(x, k_\perp)$$

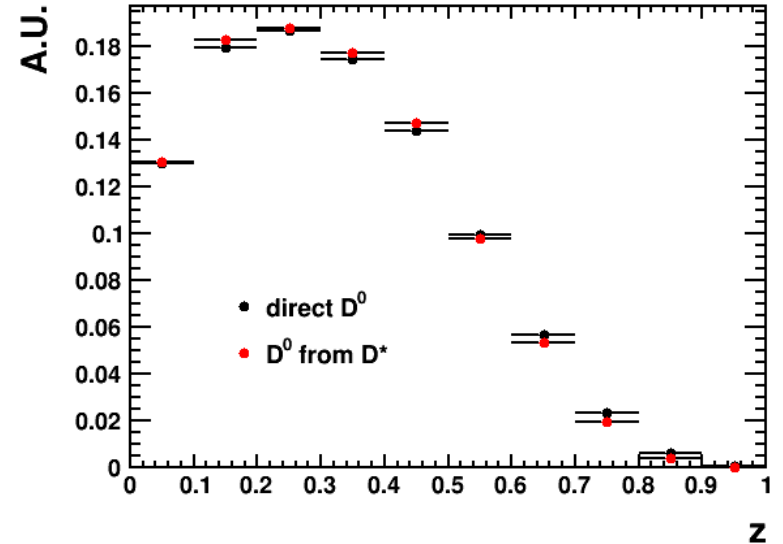
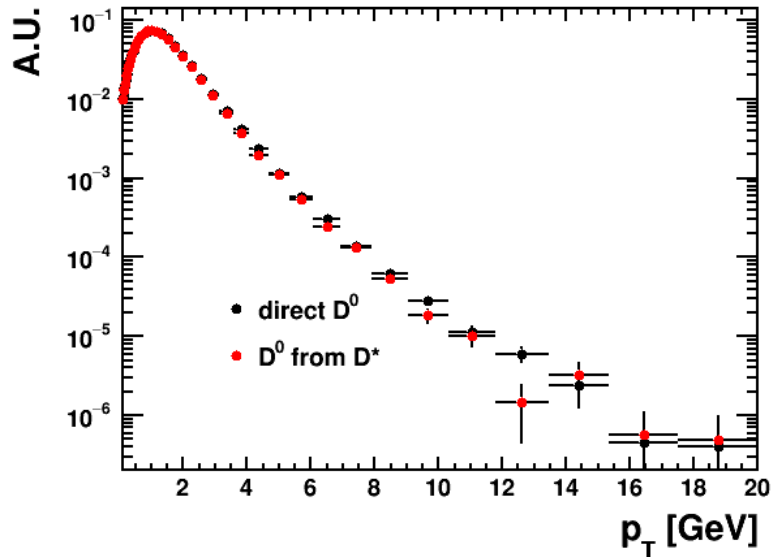
$$\Delta^N f_{a/p^\uparrow}(x, k_\perp) = 2\mathcal{N}_a(x) f_{a/p}(x, k_\perp) h(k_\perp)$$

$$\mathcal{N}_a(x) = N_a x^{\alpha_a} (1-x)^{\beta_a} \frac{(\alpha_a + \beta_a)^{(\alpha_a + \beta_a)}}{\alpha_a^{\alpha_a} \beta_a^{\beta_a}}$$

$$h(k_\perp) = \sqrt{2}e \frac{k_\perp}{M} e^{-k_\perp^2/M^2}$$

Barely known  
for the gluon  
Sivers!

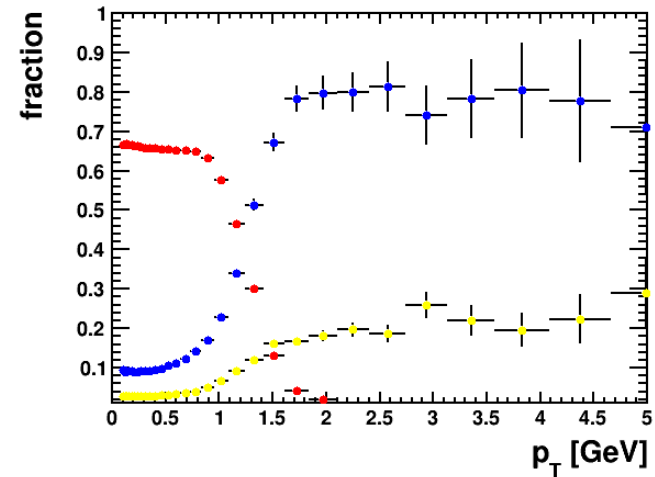
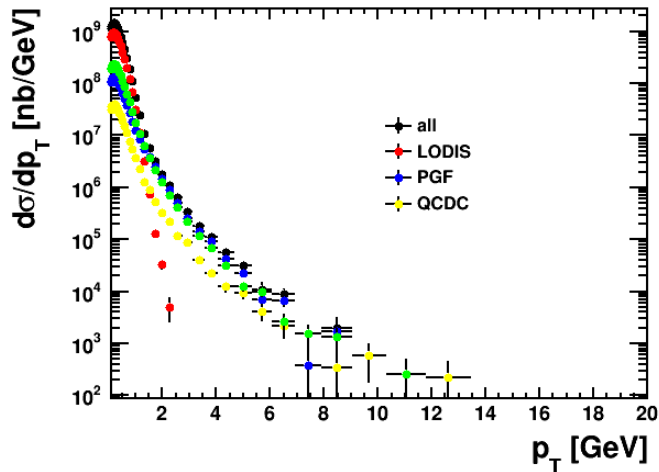
# $D^0$ feed-down from $D^*$



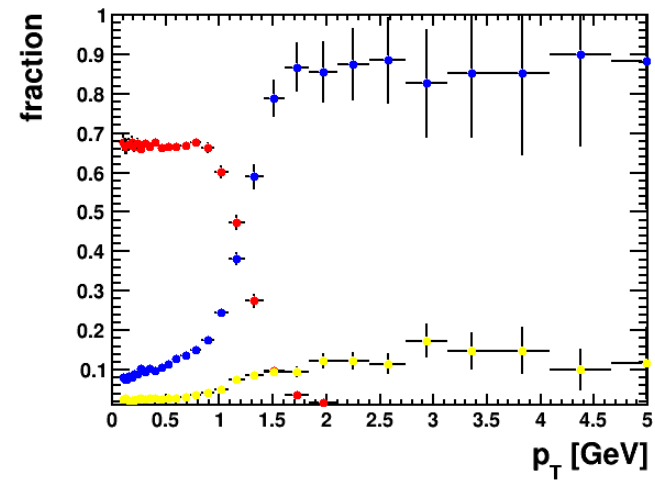
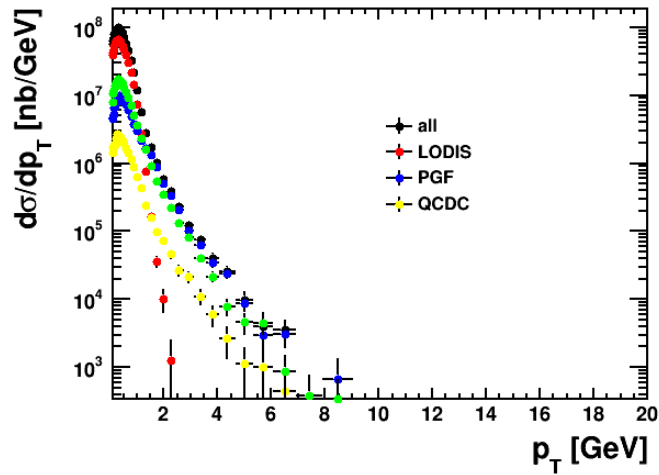
$D^0$  from  $D^*$  decay similar to the directly generated  $D^0$ s, therefore all  $D^0$ s are analyzed.

# Charged hadron vs kaon spectrum

Charged  
hadron



Charged  
Kaon





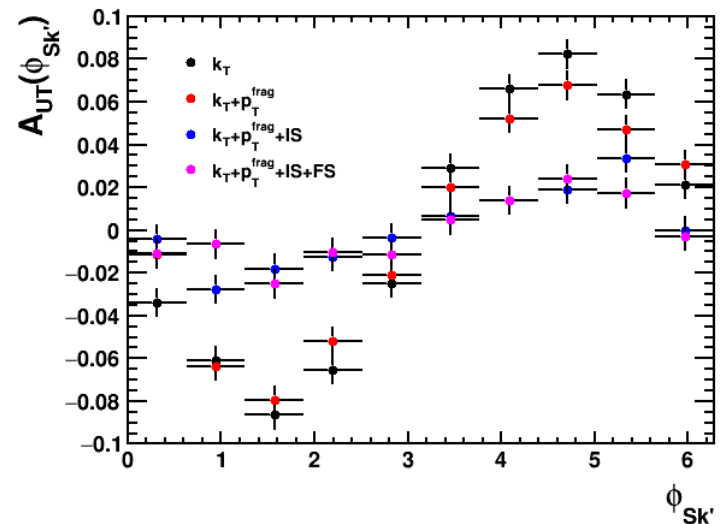
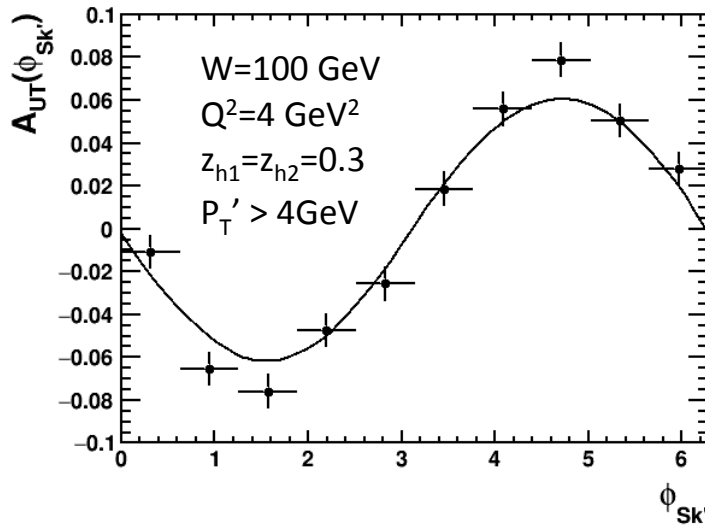
# Weighting strategy vs numerical estimation

$A_{UT}$  can be evaluated with the weighting based on input Sivers function

$$A_i = \frac{1}{N_i} \sum_{k=1}^{N_i} w_k$$

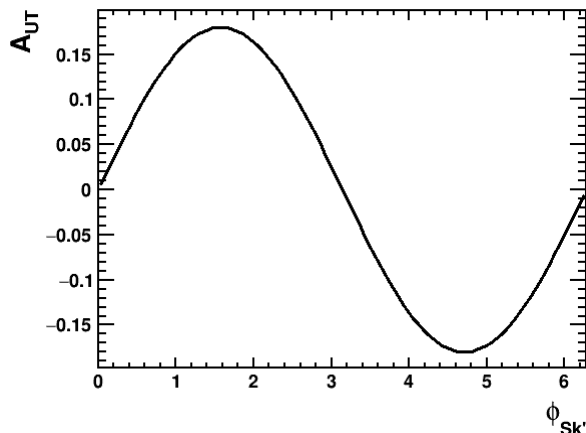
$$w_k = \frac{\Delta^N f_{a/p^\uparrow}(x, k_\perp)}{2f_{a/p}(x, k_\perp)}$$

- Weighted results agree with the numerical estimations.
- Initial state parton shower suppresses the azimuthal asymmetry significantly.

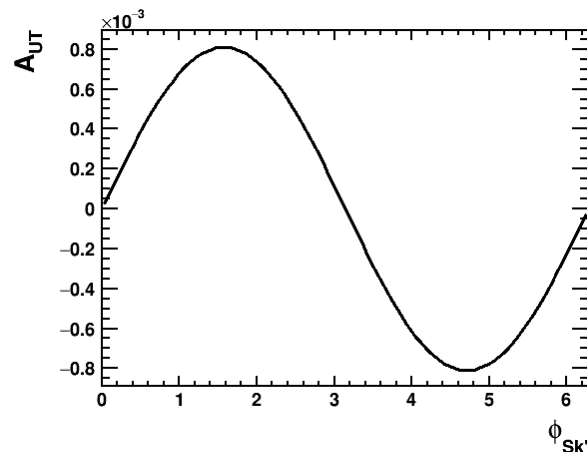


# Estimates for the SSA in different processes

PGF postivity



PGF SIDIS1



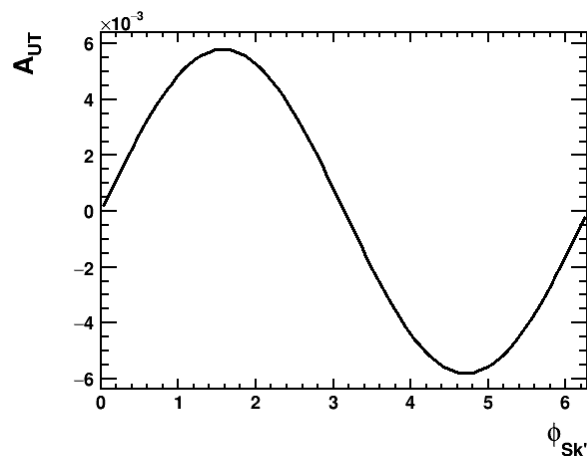
Event sample summary:

ep 20x250 GeV

$0.01 < y < 0.95$

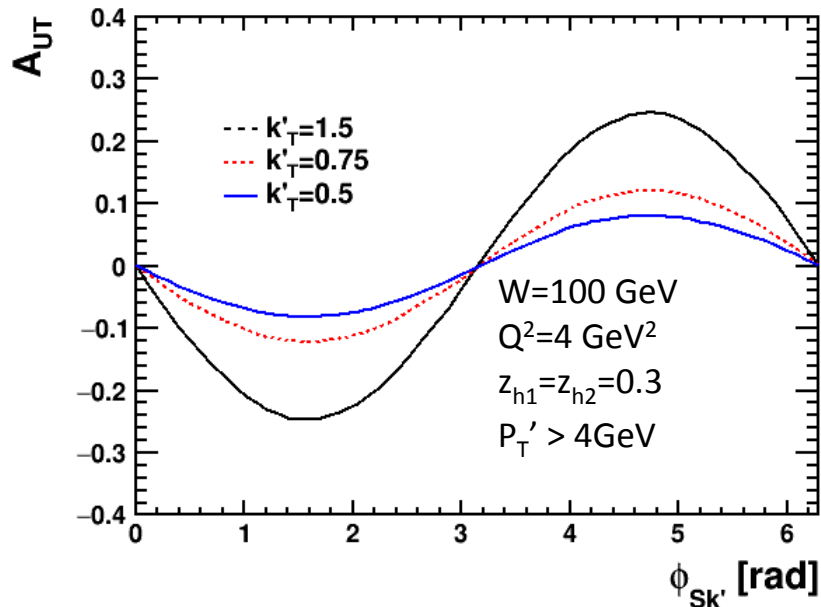
$1 < Q^2 < 20 \text{ GeV}^2$

QCDC SIDIS1

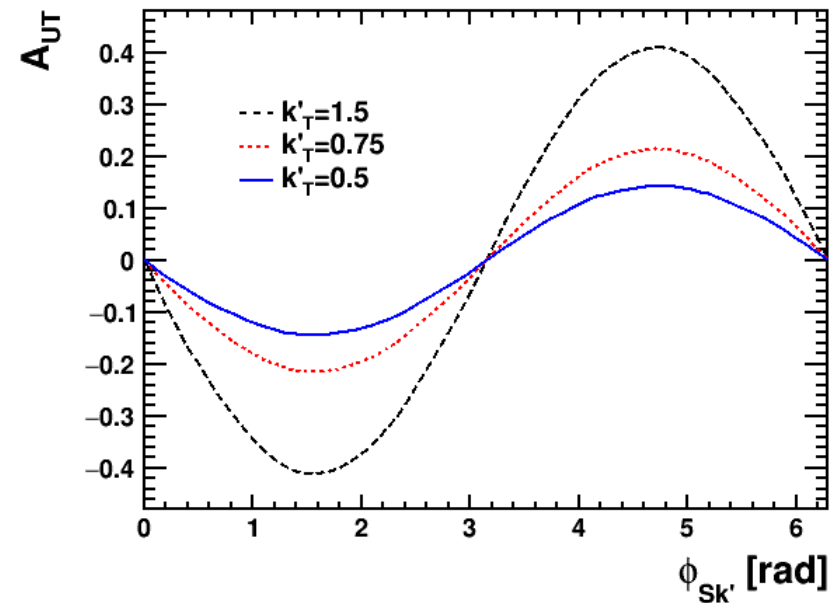


# Numerical estimation of gluon SSA with positivity bound

$D^0 \langle p_T^2 \rangle_{\text{frag}} = 0.64$

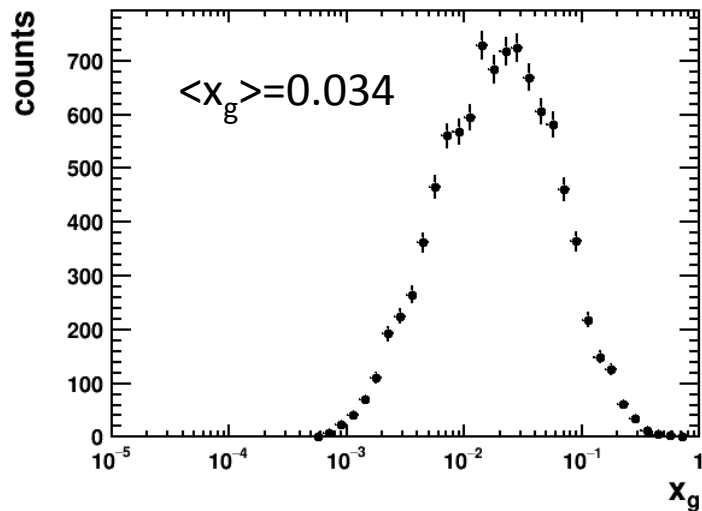


Charged dihadron  $\langle p_T^2 \rangle_{\text{frag}} = 0.2$



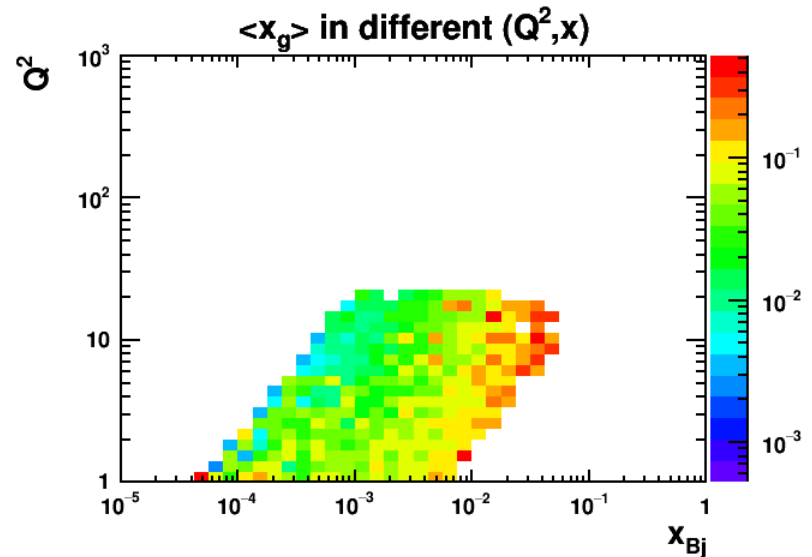
# Explored gluon dynamics by the selected pairs

$x_g$  distribution probed  
by the D meson pairs,  
overall average  $x_g$   
around  $10^{-2}$ .



$\langle x_g \rangle$  shown for every  
 $Q^2$ - $x_{Bj}$  bin.

Large  $W \sim$  small  $x_g$   
down to  $10^{-3}$ .



# Confronting simulation with Data

Comparing with charged  
hadron density measurements  
from HERA

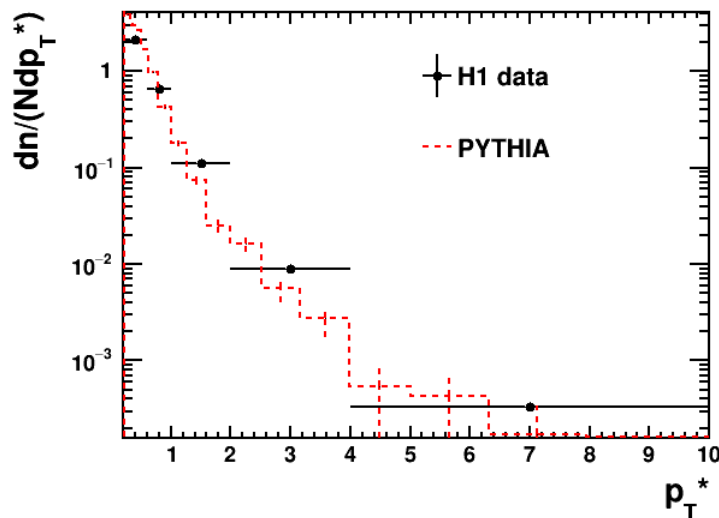
Kinematics:

ep 27.6 GeV x 920 GeV

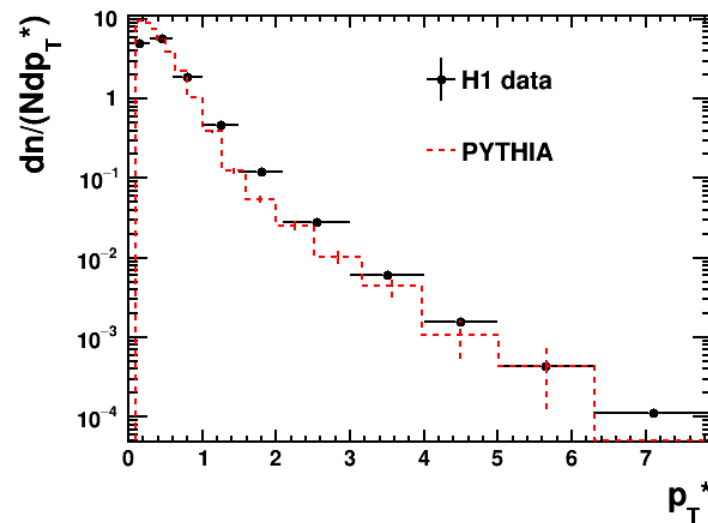
$5 < Q^2 < 10$ ,  $0.0005 < x_{Bj} < 0.002$

$p_T^*$ ,  $\eta^*$  defined in gamma-hadron  
center of mass frame

$0 < \eta^* < 1.5$



$1.5 < \eta^* < 5$



Data from EPJC 73, 2406 (2013)

H1 charged particle density data  
reasonably described by simulations.

# Projections on the SSA with $K^+K^-$ pairs

## Kinematic cuts:

ep 20x250 GeV

$0.01 < y < 0.95$

$1 < Q^2 < 20 \text{ GeV}^2$

$p_T > 1.7 \text{ GeV}, z_h > 0.1, |\eta| < 3.5$

Back-to-back limit:  $k_T' < 0.7P_T'$

$\sigma_{K^+K^-} = 1.6 \times 10^{-2} \text{ nb}$

$\langle x_g \rangle = 0.05$

- Resolution power down to 5% positivity bound.
- PGF events accounting for 92.7%.
- Integrated Luminosity:  $20 \text{ fb}^{-1}$  delivers  $\delta A_{UT} \approx 5.5 \times 10^{-3}$

Difference between black and red shows the effect of gluon Sivers

## SIDIS1 Set

$$N_g = 0.65, \alpha_g = 2.8, \beta_g = 2.8, M_g^2 = 0.43 \text{ GeV}^2$$

## Quark Sivers parameters (Anselmino et al.)

$$N_u = 0.4, \alpha_u = 0.35, \beta_u = 2.6,$$

$$N_d = -0.94, \alpha_d = 0.44, \beta_d = 0.9,$$

$$M_u^2 = M_d^2 = 0.19 \text{ GeV}^2$$

$$A(\phi_{Sk}) = R^{SIG} A^{SIG}(\phi_{Sk}) + R^{BG} A^{BG}(\phi_{Sk})$$

